

*FSP Name	Prime Investment Management Services (PIMS)	Licence Categories	Cat I Advice	Cat I Intermediary Services	Cat II Discretionary	Cat IIA Hedge Fund
Registration Number	2005/005991/07	Long-term Insurance subcategory A	X	X		
Licence Description	FSP Category 2	Long-term Insurance subcategory B1 and B2	X	X	X	
Licence Details	FSP 20293	Long-term Insurance subcategory C		X	X	
Compliance Officer	Lelani Kemp (6420) +27 10 594 2121 lkemp@globaladmin.co.za	Retail Pension Benefits	X	X	X	
		Pension Fund Benefits (excluding retail pension benefits)	X	X	X	
Responsible Key Individual and Contact Details	Shane Peters +27 10 594 2118 speters@globaladmin.co.za	Securities & Instruments: Shares	X	X	X	
		Securities & Instruments: Money market instruments	X	X	X	
		Securities & Instruments: Debentures and securitised debt	X	X	X	
		Securities & Instruments: Warrants, certificates & other instruments	X	X	X	
		Securities and Instruments: Bonds	X	X	X	
		Securities & Instruments: Derivative instruments excl warrants	X	X	X	
		Participatory Interests in Collective Investment Schemes	X	X	X	
		Friendly Society Benefits	X	X		

*FSP Name	Prime Asset Managers (PAM)	Licence Categories	Cat I Advice	Cat I Intermediary Services	Cat II Discretionary	Cat IIA Hedge Fund
Registration Number	2007/025932/07	Long-term Insurance subcategory A	X	X		X
Licence Description	FSP Category 2A	Long-term Insurance subcategory B1 and B2	X	X		
		Long-term Insurance subcategory C	X	X		
		Securities & Instruments: Shares	X	X	X	
Licence Details	FSP 33933	Securities & Instruments: Money market instruments	X	X	X	
		Securities & Instruments: Debentures and securitised debt	X	X	X	
Compliance Officer	Sashika Adsetts (6220) 073 682 2803 Esther Murashiki (6727) 083 293 4991	Securities & Instruments: Warrants, certificates & other instruments			X	
		Securities and Instruments: Bonds	X	X	X	
		Securities & Instruments: Derivative instruments excl warrants	X	X	X	
		Participatory Interests in Collective Investment Schemes	X	X	X	
Responsible Key Individual and Contact Details	Stephen Pratt 079 095 5645 spratt@globaladmin.co.za					

Company Name	Prime Collective Investment Schemes Management Company (Prime CIS)
Registration Number	2005/017098/07
Licence Description	Manager of a collective investments scheme in Securities
Compliance Officer	Lelani Kemp +27 10 594 2121 lkemp@globaladmin.co.za
Responsible Key Individual & contact details	Chad Menton +27 10 594 2151 cmenton@globaladmin.co.za

Company Name	Prime Alternative Investments (PAI)
Registration Number	2012/100824/07
Licence Description	Manager of a collective investments scheme in Hedge Funds (QIHF & RHF)
Compliance Officer	Lelani Kemp +27 10 594 2121 lkemp@globaladmin.co.za
Responsible Key Individual & contact details	Chad Menton +27 10 594 2151 cmenton@globaladmin.co.za
Group Entity	Prime Retirement Annuity Fund - Registration Number: 12/8/37925 Prime Preservation Pension Fund - Registration Number: 12/8/37924 Prime Preservation Provident Fund- Registration Number: 12/8/37926 Prime Umbrella Pension Fund- Registration Number: 12/8/37985 Prime Umbrella Provident Fund- Registration Number: 12/8/37986 Prime Unclaimed Benefit Pension Fund- Registration Number: 12/8/38062 Prime Unclaimed Benefit Provident Fund- Registration Number: 12/8/38061 Prime Pension Fund- Registration Number: 12/8/37068 Prime Provident Fund- Registration Number: 12/8/37069

Company Name	PIM Capital Management (PIM)
Licence Description	Manager of a Collective Investment Schemes in Mauritius
Business Address	4th Floor, Ebene Skies, Rue de l'Institt
Postal Address	Ebene 80817, Mauritius
Tel. No	+230 404 8000
Website	www.primeinvestments.co.za

Company Name	Prime Collective Investments (Prime CIU)
Licence Description	Manager of a Collective Investment Undertaking in Botswana
Business Address	28 Kgale Mews, Gaborone International Finance Park
Postal Address	PO Box 1839, Gaborone, Botswana
Tel. No	+267 390 2779
Website	www.primeinvestments.co.za

Business Address	1st Floor, Building B, Hurlingham Office Park, 59 Woodlands Avenue, Hurlingham, Sandton, 2196
Postal Address	PostNet Suite 208, Private Bag x 9, Benmore, 2010
Tel. No	+27 10 594 2100
Website	www.primeinvestments.co.za

*FSP: Financial Services Provider (FSP) referred to further in this document as "we" or "us"

Disclosure of statutory information

- The FSP's accept responsibility for their own actions as well as the actions of their representatives while acting in the course and scope of their employment and authorisation by the FSP's.
- No insurers receive more than 30% of our business.
- Certain representatives are required to render advice or intermediary services under supervision. Further details will be provided on request or can be obtained from the FSB's website www.fsb.co.za.
- At this stage there have been no special exemptions granted to the FSP's by the Registrar.
- The FSP's hold sufficient professional indemnity cover.

Any conflict of interest or potential conflict of interest

- There is no conflict of interest that we are currently aware of. Our Conflicts of Interest Management Policy is available on our website.

Waiver of rights

- No person may ask you or offer any inducement for you to waive any right or benefit conferred on you by or in terms of any provision of the General Code of Conduct of the FAIS Act.

Complaints

Particulars of FAIS Ombud

Ground Floor, Block B
Sussex Office Park
473 Lynnwood Road
Cnr Lynnwood Road & Sussex Ave
0081

E-mail: info@faisombud.co.za
Tel: +27 12 470 9080 / +27 12 348 3428
Fax: +27 12 348 3447

- Please note that prior to lodging a complaint with the above authorities, you are required to lodge a complaint with the relevant FSP and only after confirmation by the FSP that they are unable to resolve the complaint may it be escalated to applicable authorities.
- We have established a written internal complaint resolution process. Access to the complaints procedures and a copy of the complaint resolution process is available on our website. Complaints should be submitted to us in writing and must contain all relevant information. Copies of all relevant documentation must be attached thereto.

Responsibility for accurate and complete information

- Please note that in respect of any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to a product supplier by or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto, all material facts must be accurately and properly disclosed and the accuracy and completeness of all answers, statements or other information provided by you or on your behalf are your own responsibility.
- If any person completes or submits any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to, a product supplier by you or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto on your behalf, you should be satisfied as to the accuracy and completeness of the details.
- Any misrepresentation or non-disclosure of a material fact or the inclusion of incorrect information could result in the cancellation of the transaction or the non-payment of a benefit by the product supplier.

Signing of incomplete documents

- You are hereby advised and cautioned that no person acting on behalf of the FSP's may in the course of rendering a financial service, request you to sign any written or printed document prior to completion thereof.