

Investment Application for South African Individuals

**STEP 1: Understanding your investment**

Before you invest:

- Read the applicable Product Information Document, Minimum Disclosure Document(s) and Portfolio Supplement(s) thus ensuring that you understand the benefits and terms of your investment. These documents are available on the website ([www.primeinvestments.co.za](http://www.primeinvestments.co.za)) or from your financial advisor.
- Effective Annual Cost (EAC), is a measure which has been introduced to allow you to compare the costs that you incur when you invest in different financial products, and the impact it has on investment returns. It places you in a position to make informed decisions around retail savings and investment product choices. The EAC calculator and further information is available on the website or from your financial advisor.
- It is important to note that because the Administrator does not provide financial advice, you are able to appoint an approved and licensed Financial Advisor (provided they have a contract with us), should you require guidance with your Portfolio selection.

**STEP 3: Process payment**

Please transfer your initial investment contribution into the below account:

Account Name:	Global Nominees (PIP)
Account Type:	Current
Bank:	Standard Bank
Branch:	Sandton
Branch Number:	019205
Account Number:	200865234
Reference Code:	Investor ID No./Passport No.

**STEP 5: Look forward to your Investment Confirmation**

Please take note of the processing timelines pertaining to your investment instruction/s as set out in the Prime Tax-Free Savings Plan Portfolio and the Portfolio Supplement(s) related to your chosen Portfolio.

- Please also be aware that we will only be able to process your investment once all related investment documents have been received and your investment reflects in the above bank account
- You will receive a confirmation from us once we have received a complete set of investment documentation, as well as an investment confirmation once your contribution has been invested.



**STEP 2: Complete your application**

Please complete all fields to avoid delays in processing your investment.

**STEP 4: Send us your documents**

Email: [save@primeinvestments.co.za](mailto:save@primeinvestments.co.za)  
or contact us on +27 (0) 10 594 2100

Please include:

- Completed application for individuals
- A copy of a South African bar coded ID, or birth certificate (if minor), for the Investor and authorised representative (where applicable)
- Proof of address (not older than 3 months), for the Investor and authorised representative (where applicable). Please refer to our Acceptable Forms of Verification Document for further information
- Proof of your bank details (cancelled cheque, bank statement, letter from the bank etc.), not older than 3 months
- Proof of tax registration (required for all Investors including minors)
- Proof of payment of your investment contribution.

*Global Fund Administrators (Pty) Ltd is the Administrator of your investment plan and is an authorised Financial Services Provider (FSP No : 43521).*

*Global Nominees (Pty) Ltd is an independent nominee company approved by the Financial Services Board that holds assets for the Investor's exclusive benefit.*

## THE PRIME TAX-FREE SAVINGS PLAN

### 1. Investor Details

Please provide us with your personal details/details of the Investor (if applying on behalf of someone else).

Title	<input type="text"/>	First Names	<input type="text"/>								
Surname	<input type="text"/>										
Date of Birth	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>			D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
ID No.	<input type="text"/>										
Physical Address	<input type="text"/>										
	<input type="text"/>										
	<input type="text"/>	Code	<input type="text"/>								
Postal Address	<input type="text"/>										
	<input type="text"/>										
	<input type="text"/>	Code	<input type="text"/>								
Tel (Home)	<input type="text"/>	Tel (Mobile)	<input type="text"/>								
Tel (Work)	<input type="text"/>	Fax	<input type="text"/>								
Email	<input type="text"/>										

Are you registered for tax purposes anywhere other than South Africa? Yes  No

If "Yes" please list the country or countries in which you are registered for tax purposes, together with the relevant Tax number (eg : Tax Identification Number (TIN) if you are an American tax payer).

Country of Tax Residency	Tax No.

### 2. Details of Person Acting on Behalf of the Investor

Please provide full details of the person who is authorised to act on behalf of the Investor together with proof of the authority/appointment.

Capacity in which the person is authorised (Please provide proof of this authority when you submit your investment documentation):

Curator  Guardian  Executor of Estate  Power of Attorney  Discretionary Financial Advisor

Title	<input type="text"/>	First Names	<input type="text"/>
Surname	<input type="text"/>		
ID or Passport No. (if foreign national)	<input type="text"/>		

Physical Address   
  
 Code   
 Tel (Home)  Tel (Mobile)   
 Tel (Work)  Fax   
 Email

### 3. Investment Details

- Please refer to the **Portfolio list** before confirming your investment selection below.
- Please refer to each Portfolio MDD, for all information pertaining to your selected portfolio(s), including fees, minimums, benchmarks and mandate details.

Please confirm how you will be making payment      Electronic Funds Transfer (Internet)       Cheque Deposit   
 Source of Funds      Savings       Bonus       Inheritance       Salary       Other   
 If other, please provide further details   
 Total Investment Amount  R      Total Debit Order Amount  R

#### Investment/Model Portfolio Choice

If you are investing via a Model Portfolio, please provide the Model Portfolio name in the table below (and not the names of the Investment Portfolios in which the Model Portfolio invests).

- You may invest into one or a combination of Investment Portfolio and/or Model Portfolios.
- Please include a copy of the signed Model Portfolio mandate when you submit this application form.

Investment Portfolio Name/Model Portfolio Name	Once-off investment %	Recurring Debit Order % <i>(Please complete Debit Order Authority in 4 below)</i>
	%	%
	%	%
	%	%
	%	%
	%	%
	100 %	100 %

#### Phase In's

Do you require a Phase-in?    Yes     No     If "Yes" please complete the section below:

Please confirm from which Portfolio you will be Phasing out of (this will be referred to as the source portfolio)? Furthermore please ensure that the Portfolio you have selected reflects in your investment portfolio choice.

Portfolio Name       Portfolio Class   
 Amount to be Phased-in from the source Portfolio  R  
 OR Phase-in total value within the source Portfolio   
 Phase in period:      3 months       6 months       9 months       12 months

Portfolio Name	Class	Phase-in Percentage (%)

**Expense Account Portfolio**

You can choose to have your annual administration fee and Financial Advisor deduction from a nominated Expense Account Portfolio.

Should you elect a monthly/quarterly dealing portfolio it is **compulsory** for you to hold funds in a daily dealing Expense Account Portfolio from which all fees will be deducted.

Do you require an Expense account?      Yes       No

If "Yes", please confirm which Portfolio this will be?

Portfolio Name       Portfolio Class

If the Expense Account does not have sufficient funds at the time that annual fees are deducted, **annual fees will be deducted proportionally from the remaining investment portfolios in the investment account.**

It is your responsibility to ensure that there is sufficient balance in your expense account at all times.

**4. Debit Order Authority**

Commencement Date

Preferred Collection Date      1st of month       25th of month

Annual Debit Order Escalation %      0%       5%       10%       15%       20%

Debit Order Collection Frequency      Monthly       Quarterly       Bi-Annually       Annually

**Account Holder Information**

Account Name

Account No.       Bank

Branch       Branch Code

Type of Account      Current       Savings       Transmission

Is the above account the account of the Investor      Yes       No

If "No" please include:

- Copy of the ID/Passport of the individual account holder
  - Copy of ID's/Passports of authorised parties on the account for legal entities.
1. You hereby instruct and authorise the Administrator to collect the amount on the frequency noted above from your bank account specified above. Furthermore you understand that should your preferred collection date fall on a Saturday, Sunday or Public Holiday, the amount will be debited the first working day thereafter.
  2. You understand that you may cancel this authority by providing written notice 10 working days prior to your preferred collection date.
  3. You agree to pay any bank charges and costs relating to this debit order authority. You declare that all funds invested are not the proceeds of unlawful activities.
  4. You acknowledge that the party hereby authorised to effect the drawing(s) against your account may not cede or assign any of its rights to any third party without your prior written consent and that you may not delegate any of your obligations in terms of this contract/authority to any third party without prior written consent of the authorised party.
  5. Should you wish to withdraw your investment it's important to note that debit orders have a 45 calendar day clearance period.

## 5. Investor's Banking Details

- Please attach proof of banking details when submitting this application
- It's important to note that although we can debit a third party bank account for contributions, we are only able to pay redemption proceeds into the investor's bank account. This is particularly important to note if you are opening this investment account on behalf of a minor.

Account Name	<input type="text"/>		
Account No.	<input type="text"/>	Bank	<input type="text"/>
Branch	<input type="text"/>	Branch Code	<input type="text"/>
Type of Account	Current <input type="checkbox"/>	Savings <input type="checkbox"/>	Transmission <input type="checkbox"/>

## 6. Fees

(a) Initial Fees (Excl. Vat) – These fees are deducted before the investment into your selected portfolios.

Financial Advisor Fee: Lump Sum Investments  % Per Debit Order  %

(b) Annual Fees (Excl. Vat) – These fees are deducted monthly, proportionately from your investment balance by selling units.

Financial Advisor Fee  %

Annual Administration fee levied on Partner Portfolios 0.15 %

Annual administration fee levied on 3rd party asset manager Portfolio 0.30 %

## 7. Financial Advisor Details

Please only complete the section below if you have appointed an approved Financial Services Provider as your Financial Advisor?

The FSP is appointed by the Investor with: No Discretion  \*Full Discretion

*\*If the FSP holds a 'Category II' licence with the Financial Services Board (FSB), it is licensed to exercise discretion and submit instructions on your behalf. To do this for all your investments the FSP will need to enter into a signed mandate (instruction) from you.*

Financial Advisor Name	<input type="text"/>		
Financial Advisor Code	<input type="text"/>		
Tel (Mobile)	<input type="text"/>	Tel (Work)	<input type="text"/>
Tel (Fax)	<input type="text"/>		
Email	<input type="text"/>		

### Declaration to be completed by the Financial Services Provider

1. I declare that all the information contained in this application was obtained from the Investor and was completed in his/her presence.
2. I hereby confirm that I am appropriately and timeously registered in terms of the Financial Advisory and Intermediary Services Act 2002 (FAIS Act) to act as the Member's Financial Advisor provider on record.
3. I warrant that I have either established and verified the identity of all Investors in accordance with section 21 of the Financial Intelligence Centre Act No 38 of 2001 ("FICA"), or that in terms of my rules and procedures ordinarily applied in the course of establishing business relationships or concluding single transactions, I will have established and verified, in accordance with section 21 of FICA, the identity of every Investor on whose behalf I will be establishing business relationships or conducting single transactions with the Administrator or that I have, where it has not established and verified the identity of any Investor, been exempted from having to do so by another (the primary) accountable institution and that I will or have obtained a written undertaking from the primary accountable institution to this effect. I further warrant that I will keep records of such identification in accordance with Section 22 of FICA or, where it has not established and verified the identity of Investors, another (the primary) accountable institution has provided me/us with an undertaking that it will keep the requisite records.
4. I authorise the Administrator to accept instructions by facsimile or e-mail and hereby waive any claim that I may have

against the Administrator and indemnify the Administrator against any loss incurred as a result of the Administrator receiving and/or acting upon such communication. The Administrator will not be held responsible for any failure, malfunction or delay of any networks or electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of application and/or transactions. The Administrator will not be liable to make good or compensate any Investor or third party for any damages (whether direct or consequential), losses, claims or expenses resulting there from. The Investor or any third party indemnifies the Administrator accordingly.

Signature of Financial Advisor

D	D	M	M	Y	Y	Y	Y
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## 8. Investor/Authorised Party Declarations

1. I/We have read, understand and agree to be bound by the provisions of this application, **Information Document, Portfolio Supplement(s)** and **Minimum Disclosure Document(s)**.
2. You understand the purposes for which your personal information is required and for which it will be used and you expressly and voluntarily consent to the use of your personal information and you give us permission to process your personal information as detailed further in the Information Document.

Signed at (Place)

D	D	M	M	Y	Y	Y	Y
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Signature of Investor

Full name of Authorised Representative

Signature of Authorised Representative